Conflict of interest declaration form

FORM TEMPLATE

Check this template against your local regulations and your own policies before you use it.

Name _____ Role _____ Date _____

* Required

Type of conflict *

○ Commission or payment ○ Personal or business relationship ○ Gift or benefit ○ Other

Details *

Who is involved, and how it could affect your advice.

Value, if any

_____

Date it arose *

_____

How it will be managed *

For example, disclosed to the client, or the client handed to another broker.

Signature *

This declaration is complete and true.

Signature _____ Name _____ Date _____

For the reviewer

Reviewed by _____ Decision _____ Date _____ Notes _____